



# Compliance Compass

Gain a clear vision of your  
compliance maturity



KPMG Compliance Compass helps you to achieve greater consistency and clarity when it comes to managing compliance.

Regulator and public expectations make it vital for organisations to demonstrate a proactive approach to compliance management. KPMG Compliance Compass is an innovative cloud-based solution developed by our top compliance professionals based on the International Compliance Standard (ISO 19600). It is designed to help compliance teams, directors, managers and staff to assess the effectiveness of their organisation's compliance management system.

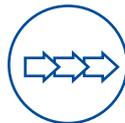
## Benefits



Identify gaps in compliance management systems



Attain industry insights



Streamline compliance management



Align to the ISO 19600



Self serve online reporting



Advice from KPMG professionals

## How it works

**KPMG Compliance Compass surveys cover a comprehensive range of standardised, industry-agnostic questions, which can be rapidly deployed to people across all levels of your organisation no matter where they are. Providing a central data repository to store assessment results, KPMG Compliance Compass facilitates year on year comparisons of your organisation's performance.**

### Compliance Compass offers:



**Self assessments** that help determine the effectiveness of your organisation's compliance management system. After surveys are completed, KPMG Compliance Compass presents you with an overall rating on the KPMG Compliance Maturity Model. It assesses your organisation across 10 compliance management dimensions, helping you to identify potential gaps.



### Compliance Framework Diagnostics

conducted by KPMG professionals. Using self assessments results, our professionals can provide tailored advice on how to enhance your organisation's compliance management system.

# How KPMG Compliance Compass works

## Comprehensive self assessment questions developed by our top compliance professionals

### Governance & Culture

Is there an organisation-wide compliance policy or equivalent document that describes your compliance management practices?

Yes  No  Partial

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Is the compliance policy signed off or endorsed by the Board (or governing body)?

Yes  No  Partial

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Is there a compliance framework or program?

Yes  No  Partial

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Has the compliance framework fully considered the context of the organisation, and the internal and external factors that may affect compliance?

Yes  No  Partial

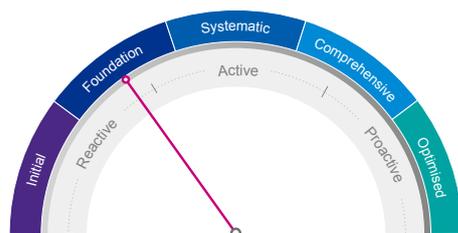
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Is the compliance policy and program supported by other documents such as standard operating procedures and guidance materials? (as necessary)

Yes  No  Partial

## Overall performance mapped on the KPMG Compliance Maturity Model

Your organisation's compliance maturity assessed against the International Compliance Standard.



### Foundation (20-40%)

Compliance culture is implied but is not supported by formal commitment from governing bodies. Some documentation to support compliance management practices are in place however this is not comprehensive. Compliance management activities may be occurring, however it is ad hoc, reactive and/or non-standard.

Current State

## Detailed analysis of your Compliance Management System across 10 dimensions models

Provides you with a comprehensive understanding of your compliance maturity on specific dimensions and focus areas for improvement.

Governance and Culture	Compliance Obligations	Compliance Risk Assessment	Compliance Controls	Accountability and Support
Continual Improvement	Reporting	Issues Management	Monitoring and Testing	Training and Communication

## Get in touch

Arrange a free trial by contacting one of our subject matter experts today.

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